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PATENTS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Stephen McConnell Gates, et al.     **Docket:** YOR920000640US1(13908)

**Serial No.:** Unassigned

**Dated:** May 3, 2001

**Filed:** Herewith

**For:** ORDERED TWO-PHASSE DIELECTRIC  
FILM, AND SEMICONDUCTOR DEVICE  
CONTAINING THE SAME



Assistant Commissioner for Patents  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 5,598,026, issued January 28, 1997 to Kapoor, et al.;
2. U.S. Patent No. 5,470,801, issued November 28, 1995 to Kapoor, et al.
3. U.S. Patent No. 5,393,712, issued February 28, 1995 to Rostoker, et al.

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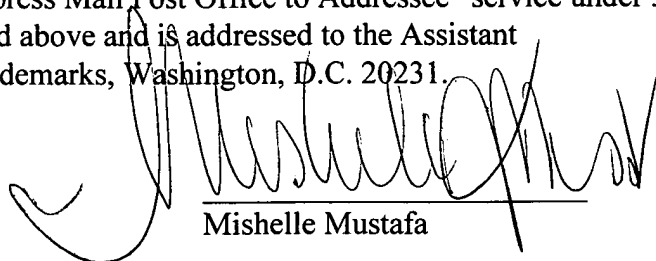
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Dated: May 3, 2001



Mishelle Mustafa

Applicants are submitting copies of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(b), no petition, certification or fee is required.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Leslie S. Szivos", with a long horizontal flourish extending to the right.

Leslie S. Szivos  
Registration No. 39,394

SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

LSS:gc